

# SAINT VINCENT AND THE GRENADINES

## COASTAL AND MARINE ECOSYSTEMS MANAGEMENT STRENGTHENING PROJECT (P172980)

### Environmental and Social Commitment Plan (ESCP) Negotiated Version

**March 01, 2022**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. Saint Vincent and the Grenadines (Recipient) will implement the “Coastal and Marine Ecosystems Management Strengthening Project” (Project) through the Sustainable Development Unit (SDU) within the Ministry of Tourism, Civil Aviation, Sustainable Development and Culture (MoTCASDC). The Global Environmental Facility (GEF), through the International Development Association (Association) is expected to provide financing for the Project.
2. The Recipient, through MoTCASDC, shall implement material measures and actions so that the Project is implemented in accordance with the World Bank’s Environmental and Social Standards (ESSs). This Environmental and Social Commitment Plan (ESCP) sets out material measures and actions, any specific documents, or plans, as well as the timing for each of these.
3. The Recipient, through MoTCASDC, shall comply with the provisions of any other environmental and social (E&S) instruments required under the ESSs and referred to in this ESCP, which include the Environmental and Social Management Framework (ESMF), Process Framework (PF), Stakeholder Engagement Plan (SEP), Labor Management Procedures (LMP), as well as the timelines specified in the present ESCP and the listed environmental and social instruments. The environmental and social instruments referenced in the ESCP may be updated with the prior written agreement of the Association.
4. The Recipient is responsible for ensuring compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry, agency or unit referenced in 1. above.
5. Implementation of the material measures and actions set out in this ESCP shall be monitored and reported to the Association by the Recipient, through MoTCASDC, as required by the ESCP and the conditions of the legal agreement.
6. As agreed by the Association and the Recipient, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the Recipient shall agree to the changes with the Association and shall update the ESCP to reflect such changes. Agreement on changes to the ESCP shall be documented through the exchange of letters signed between the Association and the Permanent Secretary responsible for the MoTCASDC. The Recipient shall promptly disclose the updated ESCP.
7. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Recipient, through MoTCASDC, shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<b>MONITORING AND REPORTING</b>			
A	<p><b>REGULAR REPORTING</b></p> <p>Prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including, but not limited to, the implementation of the ESCP, status of preparation and implementation of E&amp;S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance redress mechanism.</p>	Submitted semi-annually during the implementation of the Project, starting from the Effective Date. Each report shall be submitted no later than 45 days after the end of each reporting period.	SDU/PIU
B	<p><b>INCIDENTS AND ACCIDENTS</b></p> <p>Promptly notify the Association of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury. Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the Association’s request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	Notify the Association no later than 48 hours after learning of any fatality or other incident or accident. A subsequent report will be provided within a timeframe acceptable to the Association, as requested.	SDU/PIU
C	<p><b>CONTRACTORS MONTHLY REPORTS</b></p> <p>Require contractors to provide monthly monitoring reports to the SDU. The monitoring reporting shall include a section on environmental, social, health and safety (ESHS) of the construction sites. Such monthly reports shall be submitted to the Association upon request.</p>	<p>Monthly reports submitted to the SDU/PIU</p> <p>Report submitted to the Association upon request.</p>	SDU/PIU

ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS		
MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<p>1.1 <b>ORGANIZATIONAL STRUCTURE</b></p> <p>Establish and maintain throughout Project implementation, a Project Implementation Unit (PIU) with qualified staff and resources to support, among others, the management of E&amp;S risks.</p> <p>This shall include, at minimum, the following key E&amp;S staff:</p> <ul style="list-style-type: none"> <li>• One (1) Environmental Specialist</li> <li>• One (1) Social Specialist</li> </ul> <p>Hire one environmental consultant and one social/participatory consultant for the pilot sites.</p>	<p>MoTCASDC has already assigned one Environmental specialist and one Social specialist for the Project’s preparation and they will continue to work with the PIU during the Project’s implementation. This organizational structure shall remain in place throughout Project implementation</p> <p>Before starting the pilot activities and shall be maintained throughout the piloting interventions.</p>	SDU/PIU
<p>1.2 <b>MANAGEMENT TOOLS AND INSTRUMENTS</b></p> <p>Ensure that the Project is executed in accordance with the following requirements, and in a manner acceptable to the Association:</p> <p>a) Prepare, consult, adopt, disclose, and implement an Environmental and Social Management Framework (ESMF) for the Project, consistent with the relevant ESSs, and in a manner acceptable to the Association. This shall include, inter alia, screening, assessing, managing, and monitoring any proposed activity in accordance with the ESMF.</p> <p>b) Prepare, consult, adopt, disclose, and implement environmental and social management plans (ESMPs) and other plans as applicable for pilots and other relevant Project activities, in accordance with the ESMF and in a manner acceptable to the Association.</p>	<p>a) Submit the final ESMF for the Association’s no objection and adopt and disclose it no later than ninety (90) days after the Project Effective Date, or before any project activity starts (whichever occurs first). It shall be implemented throughout Project implementation.</p> <p>b) The ESMPs shall be (i) adopted upon the Association’s no objection and before launching the respective bidding processes; and (ii) implemented throughout the entire duration of the pilot or respective Project activity.</p>	SDU/PIU

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.3	<p><b>MANAGEMENT OF CONTRACTORS</b></p> <p>a) Incorporate the relevant aspects of this ESCP, including, inter alia, the relevant requirements in the ESMF, ESMPs, Labor Management Procedures (LMP), and codes of conduct in the environmental, social, and health &amp; safety specifications of the bidding and procurement documents and contracts with consulting firms, contractors, and in their respective contracts.</p> <p>b) Ensure that all consulting firms and contractors, comply with the environmental, social, and health &amp; safety specifications as well as the codes of conduct of their respective contracts.</p>	<p>a) Prior to commencing the relevant bidding processes, and thereafter incorporated in the respective contracts.</p> <p>b) Throughout the implementation period of the respective Project activity.</p>	SDU/PIU
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
2.1	<p><b>LABOR MANAGEMENT PROCEDURES</b></p> <p>a) Consult, adopt, and disclose the final Labor Management Procedures agreed during preparation.</p> <p>b) Update as needed and implement the LMP.</p>	<p>a) The draft LMP shall be consulted and, thereafter, revised, adopted and publicly disclosed within 90 days following the effective date of the Project.</p> <p>b) Throughout Project implementation.</p>	SDU/PIU
2.2	<p><b>GRIEVANCE MECHANISM FOR PROJECT WORKERS</b></p> <p>a) Establish, maintain, and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.</p> <p>b) Incorporate the requirements of the GRM for workers into the bidding documents and the corresponding contracts.</p>	<p>a) Grievance mechanism operational prior to engaging Project workers and maintained throughout Project implementation.</p> <p>b) Before starting bidding processes and thereafter incorporate it in the respective contracts.</p>	SDU/PIU
2.3	<p><b>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES</b></p> <p>Prepare, adopt, and implement occupational, health and safety (OHS) measures, including measures on emergency preparedness and response, as part of the development and implementation of ESMPs, in accordance with the guidelines contained in the ESMF and the LMP.</p>	Same timeframe as 1.2.b above.	SDU/PIU
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			

3.1	<p><b>WASTE MANAGEMENT PLAN</b>                  Prepare, adopt, and implement waste management measures as part of the ESMF and the ESMPs, which shall include measures to, <i>inter alia</i>, manage hazardous and non-hazardous wastes.</p>	Same timeframe as action 1.2.a and b above	SDU/PIU
-----	--	--	---------

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
3.2	<p><b>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b></p> <p>Prepare, adopt and implement measures to ensure resource efficiency and pollution prevention as part of the ESMF and the ESMPs.</p>	Same timeframe as action 1.2.a and b above	SDU/PIU
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			
4.1	<p><b>COMMUNITY HEALTH AND ROAD SAFETY</b></p> <p>Assess and manage specific risks and impacts to the community arising from Project pilots, including, inter alia, site preparation and rehabilitation, management of traffic disruptions during construction works, prevention of communicable diseases and response to emergency situations, and include mitigation measures in the ESMPs to be prepared in accordance with the ESMF, and in a manner acceptable to the Association.</p>	Same timeframe as action 1.2.a and b above	SDU/PIU
4.2	<p><b>SEXUAL EXPLOITATION AND ABUSE (SEA) AND SEXUAL HARASSMENT (SH)</b></p> <p>Implement sexual abuse and exploitation and sexual harassment (SEA/SH) prevention and response measures, proportionate to the risks of the Project, including availability of a list of services for victims of SEA/SH in the areas of intervention, adoption of the code of conduct by all workers, and adequate treatment of SEA/SH grievances in the Project level GRM, as reflected in the ESMF, SEP and LMP. These measures shall also be included in site-specific ESMPs.</p>	Same timeframe than for actions 1.2, 1.3, 2.1, and 10.1.	SDU/PIU
4.3	<p><b>UNIVERSAL ACCESS</b></p> <p>Where technically and financially feasible, consider the concept of universal access as described in the ESMF, including during restoration/replacement of ramps and toilets for persons with disabilities.</p>	Throughout Project implementation.	SDU/PIU
<b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b>			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
5.1	<p><b>PROCESS FRAMEWORK (PF)</b></p> <p>1. Prepare, consult, adopt, disclose and implement a Process Framework (PF) consistent with ESS5, in a manner acceptable to the Association.</p> <p>2. When warranted, prepare, consult, disclose, adopt, and implement action plans for livelihood restoration in accordance with ESS5 and consistent with the requirements of the PF, and thereafter adopt and implement the action plans.</p>	<p>1. The PF shall be developed, consulted, submitted to the Association for no-objection, adopted, and disclosed no later than ninety (90) days after the Project Effective Date.</p> <p>2. Actions Plans shall be (i) submitted for the Association’s no objection, and, thereafter adopted and, (ii) implemented prior to commencing Project pilots that create restrictions of access to natural resources.</p>	SDU/PIU
5.2	<p><b>GRIEVANCE MECHANISM</b></p> <p>Implement the GRM included in the SEP and PF and integrate it into each Actions Plan, as warranted. A separate log for claims and complaints related to ESS5 shall be maintained.</p>	Throughout Project implementation.	SDU/PIU
<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
6.1	<p><b>BIODIVERSITY RISKS AND IMPACTS</b></p> <p>Include guidance in the ESMF to prevent and mitigate the possible small, localized, and reversible impacts that may be expected from the necessary activities to achieve Project outcomes.</p> <p>Include procedures in the ESMPs to prevent and mitigate site-specific identified risks, following guidance under the ESMF.</p>	Same timeframe as action 1.2.a and b above	SDU/PIU
<b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b>			
ESS 7 is not currently relevant.			
<b>ESS 8: CULTURAL HERITAGE</b>			
8.1	<p><b>CULTURAL HERITAGE MANAGEMENT PLAN</b></p> <p>Develop, consult, adopt and implement cultural heritage management measures as part of the ESMP.</p>	Same timeframe as action 1.2.b above	SDU/PIU
8.2.	<p><b>CHANCE FINDS</b></p> <p>Develop, adopt, and implement a chance finds procedure as part of each ESMP and in line with the ESMF prepared for the Project.</p>	Same timeframe as action 1.2.a and b above.	SDU/PIU
<b>ESS 9: FINANCIAL INTERMEDIARIES</b>			
ESS 9 is not currently relevant.			



MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
10.1	<p><b>STAKEHOLDER ENGAGEMENT PLAN</b></p> <p>a) Revise and update the draft Stakeholder Engagement Plan (SEP), following public consultations, developed prior to appraisal and adopt and disclose the final version of the SEP.</p> <p>b) Update as needed and implement the SEP.</p> <p>c) Report on the implementation of the SEP.</p>	<p>a) Within 90 days following the effective date of the Project.</p> <p>b) Throughout Project implementation.</p> <p>c) Six-monthly reporting as set out in action A above.</p>	SDU/PIU
10.2	<p><b>PROJECT GRIEVANCE MECHANISM</b></p> <p>a) Establish, socialize, maintain, and implement the Grievance Mechanism described in the SEP.</p> <p>b) Report on project-level GM implementation.</p>	<p>a) Establish the grievance mechanism, prior to the implementation of Project activities and thereafter maintain and operate the mechanism throughout Project implementation.</p> <p>b) As part of the six-monthly reports required under action A above.</p>	SDU/PIU
<b>CAPACITY SUPPORT (TRAINING)</b>			
CS1	<p>Provide training to SDU staff and key stakeholders on:</p> <ul style="list-style-type: none"> <li>• ESF requirements.</li> <li>• Stakeholder mapping and engagement.</li> <li>• Community health and safety, including emergency preparedness and response.</li> <li>• Sexual Exploitation and Abuse and Sexual Harassment (SEA/SH).</li> </ul>	<p>Within ninety (90) days after action 1.1 has been completed. Training for other Project workers shall be conducted before the start of pilot activities. Training shall continue throughout the Project implementation period.</p>	SDU/PIU
CS2	<p>Provide training to Project contractors/workers and community workers on occupational health and safety, including on emergency prevention and preparedness, and SEA/SH.</p>	<p>Prior to the start of pilots.</p>	SDU/PIU